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# New PDL1 inhibitors for non-small cell lung cancer: focus on pembrolizumab

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**Abstract:** The advent of immune-checkpoint inhibitors during the past decade represents a major advancement in the treatment of non-small cell lung cancer (NSCLC) with personalized treatment. Platinum-based chemotherapy has reached its efficacy threshold, with its use remaining limited by its toxicity. For NSCLC, inhibitors of the PD1 protein and its ligand PDL1 show promising clinical activity and induce durable responses in patients with advanced disease. The US Food and Drug Administration has approved pembrolizumab for treatment-naïve metastatic NSCLC with  $\geq 50\%$  of tumor cells expressing PDL1 and for metastatic NSCLC with  $\geq 1\%$  PDL1 expression after progression following first-line platinum-based doublet chemotherapy. In 2017, it also authorized the first-line combination of pembrolizumab and carboplatin–pemetrexed chemotherapy without selection based on PDL1 expression, but European health authorities are still waiting for the results of a Phase III trial. In this review, the clinical results of published and ongoing studies evaluating pembrolizumab for advanced NSCLC are analyzed and the potential role of PDL1 as a factor predictive of overall responses addressed.

**Keywords:** pembrolizumab, immune-checkpoint inhibitor, NSCLC, PDL1

## Introduction

Lung cancer is a major public health problem, with an estimated 1.8 million new cases worldwide in 2012, representing 12.9% of new cancers and 19.4% of cancer-attributable deaths.<sup>1,2</sup> Non-small cell lung cancer (NSCLC) represents 85% of lung cancer.<sup>1,3</sup> NSCLC prognosis is mediocre, with 5-year overall survival (OS)  $< 15\%$ , and until recently, therapeutic options beyond first-line treatment remained limited for patients.<sup>4–7</sup>

In the early 2000s, the management of NSCLC patients was based on a combination of platinum and third-generation chemotherapy (pemetrexed, gemcitabine, and paclitaxel), with OS lasting about 12 months. The arrival of targeted therapies has transformed management for some patients ( $\sim 15\%$ ), but the majority of patients cannot benefit from these treatments. The addition of bevacizumab to chemotherapy and maintenance strategies has resulted in a life gain of a few weeks for eligible patients. Despite these therapeutic advances, most patients with advanced or metastatic NSCLC received the same chemotherapy in 2015 as in 2005. Results of several recent studies initially revealed the potential of second-line immune-checkpoint inhibitors (ICIs) for these patients and even as first-line therapy.<sup>8–13</sup> Herein, we review clinical trial results and try to discern where pembrolizumab (Keytruda; Merck, Kenilworth, NJ, USA) fits best in the management of metastatic NSCLC.

## Immune checkpoints in cancer

The immune system has long been considered a key actor in the surveillance and rejection of malignant tumors.<sup>14–16</sup> Cancer cells generally have genetic and/or epigenetic

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alterations that can lead to the synthesis of neoantigens recognizable as “nonself” by the host’s immune system. However, its responses can be limited by numerous immunosuppression mechanisms that render antitumor immunity ineffective.<sup>15</sup> Diverse mechanisms have been described in the literature, notably negative regulation of antigen presentation; autoimmunization, which is T-lymphocyte recognition of specific tumor antigens that leads to the proliferation of clones deprived of immunodominant antigens; induction of self-tolerance, meaning that T lymphocytes are unable to kill tumor cells expressing the antigen; and finally, positive regulation of the immune control points (checkpoints) in the peritumoral microenvironment.<sup>17,18</sup>

ICIs are intended to block immune-system control points, with the goal of inducing the proliferation of immune cells and their activation against cancer cells. One of these checkpoints is the axis of the PD1 protein and its ligand PDL1.<sup>17</sup> PD1 is expressed at the surface of activated T cells, B lymphocytes, and natural killer cells.<sup>19</sup> The interaction of PD1 with one of its two ligands, PDL1 or PDL2, engenders perturbed intracellular signaling and negative regulations of effector T-cell functions.<sup>20,21</sup> PDL2 is expressed mainly on antigen-presenting cells, and PDL1 can be expressed on diverse cell types, including T lymphocytes, and epithelial and endothelial cells. PDL1 can also be expressed by tumor cells and other cells in the local tumor environment.<sup>17,22,23</sup>

PD1 is strongly expressed on the surface of activated T lymphocytes in response to inflammation or infection. Tumor cells can escape the immunoresponse via regulated PDL1 expression.<sup>17</sup> When PD1 is bound to its ligand PDL1, the resulting complex blocks the immunoresponse by inhibiting the cytotoxic T-lymphocyte response. ICIs interrupt that, inhibiting T-cell signaling, thereby reactivating the antitumor actions of specific cytotoxic T cells.<sup>17,24,25</sup> NSCLC cells frequently express PDL1, with up to 68% of tumors being PDL1<sup>+</sup> according to the literature.<sup>9,26</sup>

## Pembrolizumab

Pembrolizumab (Keytruda, MK3475), humanized immunoglobulin G<sub>4</sub>, was approved to treat refractory melanoma in 2015 by the US Food and Drug Administration (FDA) and in Europe by the European Medicines Agency (EMA).<sup>27</sup> That year, the FDA and EMA also approved pembrolizumab to treat metastatic NSCLC expressing PDL1 (>1%) and progressing after platinum-based chemotherapy (or after chemotherapy and tyrosine-kinase inhibitors targeting *EGFR* mutations or *ALK* rearrangement).<sup>6,13</sup> More recently, pembrolizumab was approved by the FDA, EMA, and the

Japanese Pharmacological and Medical Devices Agency to treat patients with chemotherapy-naïve, metastatic NSCLC expressing high PDL1 ( $\geq 50\%$ ) levels without *EGFR* mutation or *ALK* rearrangement. Finally, in January 2017, the FDA accelerated approval of the combination of pembrolizumab and pemetrexed–carboplatin chemotherapy (based on KEYNOTE-021 results) as first-line treatment for metastatic or advanced nonsquamous-cell NSCLC without *EGFR* mutation or *ALK* rearrangement, regardless of PDL1 expression.

## Second-line pembrolizumab

Pembrolizumab’s clinical activity and safety were evaluated in the international multicenter Phase I KEYNOTE-001 trial (NCT01295827) of 495 untreated (101 first-line therapy) or previously treated (394 second-line or more) patients.<sup>28</sup> All patients received pembrolizumab intravenously (IV): 2 or 10 mg/kg every 3 weeks (Q3W) or 10 mg/kg Q2W. Primary end points were safety and efficacy: objective response rate (ORR), OS, progression-free survival (PFS), and duration of response (DOR).<sup>28</sup> Observation during the trial of an association between tumor-cell PDL1 expression and pembrolizumab effectiveness led to a protocol amendment, with the adjunction of another principal outcome criterion: efficacy in patients with high PDL1-expressing tumors.<sup>29</sup>

In that study, the safety profile of pembrolizumab was acceptable. All-grade treatment-associated adverse events (AEs) combined occurred in 71% of the patients, without any difference among doses given or frequency; grade  $\geq 3$  AEs were reported in 10% of patients. ORR for the entire population was 19% (95% CI 16%–23%), with 22% of patients with stable disease. ORR for previously treated patients was 18% (95% CI 14%–22%). Median PFS and OS, respectively, were 3.7 (95% CI 2.9–4.1) and 12.0 (95% CI 9.3–14.7) months at the time of analysis.

After median follow-up of 22 months, median OS rates for treatment-naïve and previously treated patients, respectively, were 22.1 (95% CI 16.8–27.2) and 10.6 (95% CI 8.6–13.3) months.<sup>30</sup> ORR, 12-month PFS, and 12-month OS, respectively, were 51.9%, 54%, and 85% for patients with  $\geq 50\%$  PDL1-expressing tumor cells compared to 26.7%, 35%, and 71% for the overall population. Those updated results confirmed pembrolizumab safety, with only 12 (11.9%) patients experiencing treatment-associated grade 3/4 AEs and no deaths.<sup>28,30,31</sup> Those findings, promising in terms of safety and efficacy, led to several Phase I–III trials (Table 1) to evaluate pembrolizumab alone or in combination with other treatments for patients with advanced NSCLC.<sup>10–12</sup>

**Table I** Main results of the seven trials that evaluated first- and second-line pembrolizumab for non-small cell lung cancer

	n	Treatment arm	n	OS, months	HR (95% CI)	P-value	PFS	HR (95% CI)	P-value	ORR (%)
<b>KEYNOTE-001 Phase I</b> <sup>28</sup>										
PDL1 >1%	495	Pembrolizumab 2 mg/kg Q3W	6		–			–		19.4
		Pembrolizumab 10 mg/kg Q3W	287	12	–		3.7	–		
		Pembrolizumab 10 mg/kg Q2W	202		–			–		
<b>KEYNOTE-010 Phase III</b> <sup>10</sup>										
PDL1 >1%	1,034	Pembrolizumab 2 mg/kg Q3W	345	10.4	0.71 (0.58–0.88)	0.008	3.9	0.88 (0.74–1.05)	0.07	18
		Pembrolizumab 10 mg/kg Q3W	346	12.7	0.61 (0.49–0.75)	0.001	4	0.79 (0.66–0.94)	0.004	18.5
		Docetaxel	343	8.5	–		4	–		9.3
<b>KEYNOTE-024 Phase III</b> <sup>11</sup>										
PDL1 >50%	305	Pembrolizumab 200 mg Q3W	154	NR	0.60 (0.41–0.89)	0.005	10.3	0.50 (0.37–0.68)	0.001	44.8
		Platinum-based chemotherapy	151	NR			6			27.8
<b>KEYNOTE-021 Phase III</b> <sup>12</sup>										
PDL1 not selected	123	Pembrolizumab + carboplatin–pemetrexed	60	–	–		13	0.50 (0.31–0.91)	0.01	55
		Carboplatin–pemetrexed	63	–	–		8.9			29
<b>KEYNOTE-189 Phase III</b> <sup>26</sup>										
PDL1 not selected	616	Pembrolizumab + carboplatin–pemetrexed	410	NR	0.49 (0.38–0.64)	0.001	8.8	0.52 (0.43–0.64)	0.001	47.6
		Carboplatin–pemetrexed	206							18.9
<b>KEYNOTE-042 Phase III</b> <sup>28</sup>										
PDL1 >1%	1,274	Pembrolizumab + platinum-based chemotherapy	637	16.7	0.81 (0.71–0.93)	0.0018	5.4	1.07 (0.94–1.21)		27.3
		Platinum-based chemotherapy	637	12.1	–		6.5			26.5
<b>KEYNOTE-407 Phase III</b> <sup>29</sup>										
PDL1 not selected	559	Pembrolizumab + carboplatin–paclitaxel or <i>nab</i> -paclitaxel	278	15.9	0.63 (0.49–0.85)	0.0008	6.4	0.56 (0.45–0.70)	0.0001	58.4
		Carboplatin–paclitaxel or <i>nab</i> -paclitaxel	281	11.3	–		4.8			35.0

**Abbreviations:** HR, hazard ratio; OS, overall survival; PFS, progression-free survival; ORR, objective response rate; Q3W, every 3 weeks; NR, not reported.

Pembrolizumab was approved as second-line therapy for NSCLC based on the results of the open Phase II–III randomized KEYNOTE-010 trial,<sup>10</sup> which evaluated its efficacy and safety vs docetaxel for patients with advanced NSCLC whose cells expressed low PDL1 ( $\geq 1\%$ ) levels. Patients were randomized to receive one of three treatments Q3W: pembrolizumab 2 (n=345) or 10 mg/kg (n=346), or docetaxel 75 mg/m<sup>2</sup> (n=343). Primary end points were OS and PFS for all patients and the subgroup with tumors

expressing  $\geq 50\%$  PDL1. Using PDL1 expression as a companion test, and its pertinence, will be discussed in the section on biomarkers. Secondary end points included tolerance, ORR, and DOR. The trial included 1,034 patients. Compared to docetaxel, the 2 and 10 mg/kg pembrolizumab doses, respectively, prolonged OS – 10.4 (95% CI 9.4–11.9), 12.7 (95% CI 10.0–17.3) vs 8.5 (95% CI 7.5–9.8) months – with no difference between the two pembrolizumab doses (hazard ratio [HR] 1.17, 95% CI 0.94–1.45), but PFS rates

did not differ significantly. After subgroup analyses, no significant OS benefit was observed with pembrolizumab compared to docetaxel for women (HR 1.02, 95% CI 0.78–1.32), patients with *EGFR*-mutated tumors (HR 1.79, 95% CI 0.94–3.42), or those whose tumors had 1%–49% PDL1 expression (HR 1.04, 95% CI 0.85–1.27).

Pembrolizumab efficacy against tumors with  $\geq 50\%$  PDL1 expression was a principal end-point cocriterion. Among the 2,222 patients screened, 1,475 (66%) had  $\geq 1\%$  PDL1 expression, with 633 (28%) having  $\geq 50\%$  PDL1 and thus were assessable for the cocriterion. Those patients' median OS rates for the 2 and 10 mg/kg pembrolizumab and docetaxel groups, respectively, were 14.9 (95% CI 10.4–not reached), 17.3 (11.8–not reached), and 8.2 (6.4–10.7) months. OS was comparable for the two pembrolizumab arms. Pembrolizumab's safety profile was better than that of docetaxel, with fewer grade 3/4 AEs, in agreement with Phase I trial results.<sup>28</sup> Grade  $\geq 3$  AEs were reported in 13% (43 of 339), 16% (55 of 343), and 35% (109 of 309) of patients given 2 or 10 mg/kg pembrolizumab or docetaxel, respectively. Among the eleven treatment-attributed deaths, three occurred in the 2 mg/kg pembrolizumab arm (two interstitial and one infectious pneumopathy), three in 10 mg/kg pembrolizumab recipients (one myocardial infarction and one interstitial or one infectious pneumopathy), and five in the docetaxel group. Immune-related AEs (IRAEs), regardless of grade, occurred in 20% (69 of 339) and 19% (64 of 343) of patients who received 2- or 10 mg of kg pembrolizumab, respectively. The most common IRAEs were hypothyroidism, hyperthyroidism and interstitial pneumopathy.

## First-line pembrolizumab

Several clinical trials have evaluated first-line pembrolizumab. An analysis of 101 treatment-naïve patients included in the Phase-I (KEYNOTE-001) trial was published in 2017.<sup>30</sup> ORR (51.9%), 12-month PFS (54%), and 12-month OS (84%) for patients with PDL1  $\geq 50\%$  NSCLC were better than for the entire population (26.7%, 35%, and 71%, respectively). Those findings led to Phase I–III trials testing the molecule as first-line therapy.

The Phase III randomized KEYNOTE-024 trial (NCT02142738) compared pembrolizumab (200 mg IV, 3QW) until disease progression or unacceptable toxicity for a maximum of 2 years vs standard platinum-based doublet chemotherapy (four to six cycles with maintenance therapy possible) as first-line therapy for advanced NSCLC without *EGFR* mutation or *ALK* rearrangement, but with PDL1  $\geq 50\%$  determined by immunohistochemistry (IHC).<sup>11</sup> The primary end point was PFS and secondary-outcome criteria were

ORR, OS, and safety. Patients with untreated brain metastases, active autoimmune disease requiring systemic therapy, or performance status (PS)  $\geq 1$  were excluded. Patients who received platinum-based chemotherapy (cisplatin 75 mg/m<sup>2</sup> IV or carboplatin area under the carboplatin area under curve (AUC) 5–6 IV Q3W) in combination with pemetrexed (500 mg/m<sup>2</sup> IV Q3W), gemcitabine (1,250 mg/m<sup>2</sup> IV Q3W), or paclitaxel (200 mg/m<sup>2</sup> IV Q3W with carboplatin) served as controls. Among 1,934 patient tumors screened for PDL1, 500 (25.9%) showed PDL1  $\geq 50\%$ . Finally, 305 patients were included and randomized (154 to the pembrolizumab arm and 151 to the control group).<sup>11</sup> In the case of disease progression in the control group, crossover was authorized.

At the time of analysis, 50% of chemotherapy-treated controls had switched to pembrolizumab because of progression vs 23% of the pembrolizumab-arm patients. The main results are summarized in Table 1. Median PFS rates were 10.4 vs 6.0 months for the pembrolizumab and chemotherapy arms, respectively (HR 0.5, 95% CI 0.37–0.68;  $P < 0.001$ ). OS was also significantly better for patients in the pembrolizumab arm, with the median not reached for either arm. Estimated OS rates at 6 months were 80.2% and 72.4%, respectively, for the pembrolizumab and control arms (HR 0.60, 95% CI 0.41–0.89;  $P = 0.005$ ), with respective ORRs of 45% and 28% ( $P = 0.0011$ ). A PFS benefit was observed for all the predefined subgroups.

The data were updated at the 2017 World Conference on Lung Cancer.<sup>32</sup> At data cutoff (July 10, 2017) after a median follow-up of 25.2 months, the HR for OS was 0.63 (95% CI 0.47–0.86,  $P = 0.002$ ). Median OS was 30.0 (18.3–not reached) months for the pembrolizumab arm and 14.2 (9.8–19.0) months for the chemotherapy arm. Those groups' respective 12-month OS rates were 70.3% (95% CI 62.3%–76.9%) vs 54.8% (95% CI 46.4%–62.4%).<sup>32</sup>

All-grade AEs combined occurred in 73.4% and 90.0% of patients in the pembrolizumab and control groups, respectively.<sup>11</sup> Grade 3/4 AEs were observed in 26% of pembrolizumab recipients and 51% of chemotherapy-treated controls. The main grade 3/4 non-IRAEs associated with pembrolizumab were diarrhea (3.9%), anemia (1.9%), and fatigue (1.3%). The overall IRAE frequency under pembrolizumab was 29.2%, among which 9.7% was grade  $\geq 3$ , mainly pulmonary (2.6%), cutaneous (3.9%), and digestive (1.3%).

In that trial,<sup>33</sup> patients' quality of life was evaluated with the Quality of Life Questionnaire – cancer (QLQ-C)-30 and QLQ – lung cancer (QLQ LC)-13. Mean variations in QLQ-C30 scores compared to their initial values were 6.9 (95% CI 0.3–10.6) points for pembrolizumab and –0.9 (95% CI –4.8 to 3.0) for the controls, for a difference of

7.8 (95% CI 2.9–12.8,  $P=0.002$ ). Fewer pembrolizumab-treated patients than chemotherapy controls (31% vs 39%, respectively) had poorer QLQ-LC13 composite scores, with longer time to deterioration under pembrolizumab than chemotherapy (HR 0.66, 95% CI 0.44–0.97;  $P=0.029$ ).<sup>33</sup> These findings led to premature termination of the trial by the independent monitoring committee, with a recommendation to offer chemotherapy-treated patients the option to receive pembrolizumab.<sup>11</sup> Based on these results, the FDA, EMA, and Japanese Pharmacological and Medical Devices Agency approved pembrolizumab for first-line treatment of metastatic NSCLC with elevated PDL1 ( $\geq 50\%$ ) expression.

## Ongoing trials of pembrolizumab in combination

Pembrolizumab was also evaluated in combination with chemotherapy as first-line NSCLC treatment, regardless of PDL1 expression, in a Phase I/II trial (KEYNOTE-021) comprised of eight cohorts:<sup>12,34</sup> cohort A, pembrolizumab 2/10 mg/kg + carboplatin AUC<sub>6</sub> + paclitaxel 200 mg/m<sup>2</sup>; cohort B, pembrolizumab 2/10 mg/kg + carboplatin AUC<sub>6</sub> + paclitaxel 200 mg/m<sup>2</sup> + bevacizumab 15 mg/kg; cohort C, pembrolizumab 2/10 mg/kg + carboplatin AUC<sub>5</sub> + pemetrexed 500 mg/m<sup>2</sup>; cohort D, pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg; cohort E, pembrolizumab 2 mg/kg + erlotinib 150 mg; cohort F, pembrolizumab 2 mg/kg + gefitinib 250 mg; cohort G, carboplatin AUC<sub>5</sub> + pemetrexed 500 mg/m<sup>2</sup> with/without pembrolizumab 200 mg; and cohort H, extension of cohort D.

KEYNOTE-021 results are available only for cohorts A, B, D, G, and H, but not for cohorts C, D, or E. KEYNOTE-021 cohort G had the strongest clinical impact: the combination of pembrolizumab (200 mg IV) with pemetrexed (500 mg/m<sup>2</sup> IV)–carboplatin (PPC; AUC<sub>5</sub>) Q3W achieved a better ORR than chemotherapy alone.<sup>12</sup> Patients with unmutated *EGFR* or unrearranged *ALK* NSCLC were treatment-naïve and PS 0–1, without brain metastases or interstitial lung disease, and only systemic corticosteroid doses <10 mg/day were allowed. The primary end point was ORR, and secondary end points were PFS, OS, and pembrolizumab safety and efficacy according to PDL1 status. The 123 enrolled patients were randomized to the PPC arm ( $n=60$ ) or to receive pemetrexed–carboplatin (PC) chemotherapy alone ( $n=63$ ). A 26% ORR benefit was observed with pembrolizumab (ORR 55% with PPC vs 29% with PC,  $P=0.0016$ ), with respective median PFS of 13.0 vs 8.9 months (HR 0.53, 95% CI 0.31–0.91;  $P=0.0102$ ). OS did not differ between the two arms (HR 0.90, 95% CI 0.42–1.91), with 1-year OS at 75% and 72% for the PPC and PC arms, respectively. No effect

of PDL1 status at the <1% threshold was observed. With the 50% threshold, ORR differed: 26% for patients with 1%–49% PDL1 ( $n=19$ ) and 80% for the subgroup with  $\geq 50\%$  PDL1 expression ( $n=20$ ).

All-grade AEs occurred in 93% of the PPC recipients and 90% of those given PC, among which 39% and 26%, respectively, were grade >2.<sup>12</sup> The main PPC-associated AEs reported were fatigue (64%), nausea (58%), anemia (32%), cutaneous eruptions (27%), and vomiting (27%). IRAEs in the pembrolizumab-treated group were hypothyroidism (15%), hyperthyroidism (8%), and interstitial pneumopathy (5%). Those results, submitted to the FDA in January 2017, led to the approval of pembrolizumab in combination with (pemetrexed–carboplatin) chemotherapy for first-line treatment of advanced, nonsquamous-cell NSCLC without *EGFR* mutations or *ALK* rearrangements, regardless of PDL1 status.

Updated data were presented at the 2018 American Society of Clinical Oncology (ASCO) Annual Meeting, with median follow-up at 24 months (range 0.8–29.0 months).<sup>35</sup> ORR was 57% with PPC vs 30% for PC ( $P=0.0016$ ). PFS was significantly improved with PPC (24.0 months) vs PC (9.3 months; HR 0.53, 95% CI 0.33–0.86;  $P=0.0049$ ). Median OS was not reached for PPC or PC, respectively: (24.5–not recorded [NR]) and 21.1 (14.9–NR) months (HR 0.56, 95% CI 0.32–0.95;  $P=0.0151$ ). Twenty-four-month OS rates were 67% for the pembrolizumab arm and 48% for the placebo arm.<sup>35</sup> These updated data confirmed the first published results that had led to FDA approval.

The Phase III KEYNOTE-189 trial included 616 patients (randomized 2:1) to confirm the results of the KEYNOTE-021 trial on the efficacy of the pembrolizumab–pemetrexed–platinum combination for chemotherapy-naïve NSCLC without *EGFR* mutation or *ALK* rearrangement (Table 1).<sup>36</sup> The main end points were PFS and OS. The recently published results supported the use of that combination. PFS was prolonged by 3.9 months for the pembrolizumab arm (median PFS 8.8 months) compared to the placebo arm (median PFS 4.9 months) with HR 0.52 (95% CI 0.43–0.64,  $P<0.001$ ), with respective 1-year OS rates of 69.5% and 49.4%. According to subgroup analyses, no PFS benefit was obtained for PDL1 <1% (HR 0.75, 95% CI 0.53–1.05). Those findings stand out, regardless of tumor PDL1 status. After centralized review, ORRs were 46.7% for pembrolizumab recipients vs 18.9% for those given placebo ( $P<0.001$ ).<sup>36</sup>

During the trial, 41% of placebo arm patients crossed over to receive pembrolizumab monotherapy or other immunotherapies after progression. Grade  $\geq 3$  toxicity rates for the pembrolizumab and placebo arms, respectively, were 67.3% and 65.2%, with respective 20.2% and 10.7% AE

rates leading to discontinuation, and 8.9% and 4.5% grade  $\geq 3$  IRAE rates. The most frequent secondary grade  $\geq 3$  IRAEs were interstitial pneumopathy (2.7%, with three deaths), cutaneous reactions (2%), and nephritides (1.5%).<sup>36</sup>

For KEYNOTE-021 cohort A (pembrolizumab 2/10 mg/kg + carboplatin AUC<sub>0-6</sub> + paclitaxel 200 mg/m<sup>2</sup> Q3W, four cycles followed by pembrolizumab Q3W up to 2 years or progression, 13 of 25 (52%) patients achieved partial responses. For cohort B (pembrolizumab 2/10 mg/kg + carboplatin AUC<sub>0-6</sub> + paclitaxel 200 mg/m<sup>2</sup> + bevacizumab 15 mg/kg Q3W), four cycles also obtained partial responses. During the follow-up of one arm by pembrolizumab–bevacizumab maintenance, 12 of 25 (48%) patients had partial responses.

The pembrolizumab–ipilimumab combination given to KEYNOTE-021 cohorts D (pembrolizumab 2 mg/kg, ipilimumab 1 mg/kg) and H (cohort extension) led to 25% ORRs for 45 of the assessable patients given the pembrolizumab (2 mg/kg)–ipilimumab (1 mg/kg) combination, a rate similar to that obtained with pembrolizumab alone, and a 64% disease-control rate.<sup>37</sup> The response was not associated with PDL1 status. Compared to immunotherapy alone, the combination's safety was not as good, with 49% of patients experiencing grade 3/4 AEs, necessitating treatment discontinuation for 9%. All-grade IRAEs occurred in 40% of the patients. Principal ongoing trials are summarized in Table 2.

**Table 2** Main ongoing trials evaluating pembrolizumab for non-small cell lung cancer

Stage	Specific population	Phase	n	Experimental arm	Acronym	<a href="http://ClinicalTrials.gov">ClinicalTrials.gov</a>
<b>Stage I</b>						
		I	20	SBRT + pembrolizumab		NCT03446911
Neoadjuvant		I	28	Pembrolizumab	MK3475-223	NCT02938624
<b>Stage II</b>						
Neoadjuvant	Squamous cell	II	32	Pembrolizumab	TOP 1501	NCT02818920
Neoadjuvant		II	30	Pembrolizumab	NEOMUN	NCT03197467
Adjuvant		III	1,381	Pembrolizumab	PEARLS or KEYNOTE-092	<b>NCT02504373</b>
Adjuvant		I/II	202	Pembrolizumab + entinostat		NCT02437136
		I	25	Radiotherapy + pembrolizumab		NCT03245177
<b>Stage III</b>						
Adjuvant	Stage IIIA (N2)	II	37	Pembrolizumab		NCT03053856
Neoadjuvant + consolidation		I	20	Neoadjuvant chemoradiation + pembrolizumab followed by pembrolizumab consolidation		NCT02987998
Neoadjuvant or adjuvant	IIB/IIIA	III	786	Pembrolizumab + platinum-doublet chemotherapy	KEYNOTE-671	NCT03425643
	IIB/IIIB	I	30	Pembrolizumab + paclitaxel + carboplatin + radiation therapy		NCT02621398
	Unresectable stage III	II	126	Pembrolizumab maintenance	MP-LALC	NCT03379441
	Unresectable stage III	II	95	Pembrolizumab consolidation following chemoradiation		NCT02343952
Adjuvant	Stage III with EGFR mutation	II	25	Pembrolizumab		NCT02879994
	Advanced	I	37	Pembrolizumab	KEYNOTE-025	NCT02007070
Adjuvant		II	75	Pembrolizumab after SBRT		NCT02492568
	Localized recurrence	II	41	Pembrolizumab consolidation after concurrent chemotherapy and proton reirradiation		NCT03087760
<b>Stage IV</b>						
First line	$\geq 50\%$ PDL1	III	548	Pembrolizumab + ipilimumab	KEYNOTE-598	<b>NCT03302234</b>
First line		II	20	Pembrolizumab + carboplatin + paclitaxel		NCT02581943

(Continued)

Table 2 (Continued)

Stage	Specific population	Phase	n	Experimental arm	Acronym	ClinicalTrials.gov
First line		II	90	GRN-1201 + pembrolizumab		NCT03417882
First line		II	65	Pembrolizumab for PDL1-low tumors	PEOPLE	NCT03447678
First line		II	90	Optimal sequencing of pembrolizumab + standard platinum-based chemotherapy		NCT02591615
First line		II	100	Pembrolizumab + AM0010	Cypress I	NCT03382899
First line		I/II	44	Pembrolizumab + oral decitabine and tetrahydrouridine		NCT03233724
First line		II	26	AGEN1884 + pembrolizumab		NCT03411473
Maintenance after first line		II	48	Switch to pembrolizumab maintenance	SWIPE	NCT02705820
Maintenance after first line	Squamous cell	II	130	Pembrolizumab maintenance after platinum-based chemotherapy	PRIMUS	NCT02564380
Second line	1%–49% PDL1	III	740	Pembrolizumab		NCT02864394
Second line or more		I	84	Pembrolizumab	KEYNOTE 011	NCT01840579
Second line or more		II	105	Sequential consolidation: pembrolizumab then nab-paclitaxel		NCT02684461
Second line or more		I/II	508	Pembrolizumab + epacadostat	KEYNOTE-037	NCT02178722
Second line or more		III	588	Pembrolizumab + epacadostat	KEYNOTE 654-01	<b>NCT03322540</b>
Second line or more		I/II	421	Pembrolizumab + epacadostat + chemotherapy	KEYNOTE-723	<b>NCT03085914</b>
Second line or more		III	1,062	Pembrolizumab + epacadostat ± platinum-based chemotherapy	KEYNOTE-715-02	<b>NCT03322566</b>
Second line or more		I/II	200	Pembrolizumab + CV301	MAGNI-LUNG-01	NCT02840994
Second line or more		I/II	46	Pembrolizumab + gemcitabine		NCT02422381
Second line or more		I	90	Pembrolizumab + CVA21	STROM	NCT02043665
Second line or more		I	81	Pembrolizumab + PEGPH20		NCT02563548
Second line or more		I	75	Pembrolizumab + necitumumab		NCT02451930
Second line or more		I	20	Personalized therapeutic antitumor vaccine + pembrolizumab		NCT03166254
Second line or more		I	40	CVA21 + pembrolizumab		NCT02824965
Second line or more		I/II	36	Pembrolizumab + Imprime PGG		NCT03003468
Second line or more		II	105	Pembrolizumab + ALT803		NCT03228667
Second line or more		I	36	Pembrolizumab + nab-paclitaxel	URCOH-PMS-001	NCT02733250
Second line or more		II	70	Pembrolizumab + docetaxel		NCT02574598
Second line or more		I/II	44	Pembrolizumab + lenalidomide		NCT02963610
Second line or more		I/II	61	Pembrolizumab + oncolytic MGI-MAGEA3 with Ad-MAGEA3 vaccine		NCT02879760
Second line or more		II	48	Pembrolizumab + itacitinib		NCT03425006
Second line or more		I	237	Pembrolizumab + itacitinib or pembrolizumab + NCB050465		NCT02646748
Second line or more	Adenocarcinoma	II	48	Pembrolizumab + BGB324		NCT03184571
Second line or more		I	75	Pembrolizumab + enoblituzumab (MGA271)		NCT02475213
Second line or more		I/II	100	Pembrolizumab + vorinostat		NCT02638090
Second line or more		I/II	142	Pembrolizumab + azacitidine + epacadostat	ECHO 206	NCT02959437

(Continued)



Table 2 (Continued)

Stage	Specific population	Phase	n	Experimental arm	Acronym	ClinicalTrials.gov
Second line or more		I/II	197	Pembrolizumab + AMG820		NCT02713529
Second line or more		I	18	Pembrolizumab + LYC55716		NCT03396497
Second line or more		I	378	Pembrolizumab + CPI006 + CPI444		NCT03454451
Second line or more		II	50	Pembrolizumab + recombinant EphB4-HSA fusion protein		NCT03049618
Second line or more		I	27	Pembrolizumab + CM24 (MK6018)		NCT02346955
Second line or more		I	12	Pembrolizumab + I-NMMA		NCT03236935
Second line or more		I	22	Pembrolizumab + GR-MD02		NCT02575404
Second line or more		II	74	Pembrolizumab + acalabrutinib (ACPI96)	KEYNOTE 166	NCT02448303
Second line or more		I	15	Pembrolizumab + personalized cancer vaccine (NEO-PV01) + chemotherapy		NCT03380871
Second line or more		I/II	40	Pembrolizumab + idelalisib		NCT03257722
Second line or more		I	57	Pembrolizumab + guadecitabine + mocetinostat (epigenetic drugs)		NCT03220477
Second line or more		I	35	Pembrolizumab + guadecitabine	HyPeR	NCT02998567
Second line or more		II	100	Pembrolizumab + CC486		NCT02546986
Second line or more		I	155	Pembrolizumab + ramucirumab		NCT02443324
Second line or more		I	27	Pembrolizumab + trigriluzole		NCT03229278
Second line or more		I	6	Pembrolizumab + lenvatinib		NCT03006887
Second line or more		I	19	Pembrolizumab + vaccine therapy		NCT02432963
Second line or more		I	75	Pembrolizumab + abemaciclib (LY2835219)		NCT02779751
Second line or more		I/II	67	Carboplatin or nab-paclitaxel + pembrolizumab		NCT02382406
Second line or more	Brain metastases	II	64	Pembrolizumab		NCT02085070
Second line or more	Brain metastases	II	53	Pembrolizumab + bevacizumab		NCT02681549
Second line or more	Brain metastases	I	30	SBRT + pembrolizumab		NCT02858869
Second line or more	Oligometastatic	II	42	Pembrolizumab after curative-intent treatment		NCT02316002
Post-erlotinib progression	EGFR mutation	I	38	Pembrolizumab + afatinib		NCT02364609
First line	ALK mutation	I	70	Crizotinib + pembrolizumab	KEYNOTE 050	NCT02511184
Second line or more	EGFR/ALK mutation	II	62	Pembrolizumab + platinum-based doublet chemotherapy		NCT03242915
Second line or more	KRAS mutation	I	42	Pembrolizumab + trametinib		NCT03299088
Second line or more	Elderly	II	82	Pembrolizumab		NCT03293680
Second line or more	PS =2	II	60	Pembrolizumab	PePS2	NCT02733159
Second line or more	HIV + patient	I	39	Pembrolizumab		NCT02595866
<b>Radiotherapy</b>						
		II	48	SBRT + pembrolizumab		NCT02658097
		I	32	SBRT + pembrolizumab	SABRseq	NCT03307759
		I/II	104	SBRT + pembrolizumab		NCT02444741
		I/II	60	SBRT + pembrolizumab		NCT02407171
		I	24	SBRT + pembrolizumab	PRIMING	NCT03368222
		I	35	SBRT + pembrolizumab		NCT02608385
		II	57	SBRT + oncolytic virus therapy before pembrolizumab	STOMP	NCT03004183
		II	40	SRT + pembrolizumab		NCT03217071

**Note:** Major ongoing studies in bold font.

**Abbreviations:** SBRT, stereotactic body radiation therapy; PS, performance status; SRT, stereotactic radiation therapy.

The randomized, open, Phase III KEYNOTE-042 trial was planned to include 1,240 patients in comparing first-line pembrolizumab vs chemotherapy for patients with advanced NSCLC with >1% PDL1 expression. Patients were randomized at a 1:1 ratio between pembrolizumab 200 mg Q3W for 2 years or until disease progression, with chemotherapy chosen by the physician. The results of this Phase III trial were presented in a presidential session at ASCO 2018.<sup>38</sup> The primary objective of the study was OS of patients with PDL1  $\geq 50\%$ ,  $\geq 20\%$ , and  $\geq 1\%$ , with a statistically hierarchical analysis starting with patients with PDL1  $\geq 50\%$ . A total of 1,274 patients were included (637 in each arm), including 599 PDL1 patients  $\geq 50\%$  (47%) and 818 PDL1 patients  $\geq 20\%$ . The median follow-up at the time of analysis was 12.8 months. OS was significantly increased in the pembrolizumab arm for patients with PDL1 >1% (16.7 vs 12.1 months, HR 0.81, 95% CI 0.71–0.93;  $P=0.0018$ ), PDL1  $\geq 20\%$  (17.7 vs 13.0 months, HR 0.77, 95% CI 0.64–0.92;  $P=0.0020$ ), and PDL1  $\geq 50\%$  (20.0 vs 12.2 months, HR 0.69, 95% CI 0.56–0.84;  $P=0.0003$ ) compared to chemotherapy. OS gain was 4.5 months, 4.7 months, and 7.8 months for patients with PDL1  $\geq 1\%$ , PDL1  $\geq 20\%$ , and PDL1  $\geq 50\%$  respectively. OS benefit was essentially driven by PDL1 >50% patients. For patients with PDL1 of 1%–49%, an exploratory analysis found no difference in OS between pembrolizumab and chemotherapy (HR 0.92, 95% CI 0.77–1.11). It should be noted that only 19.3% of patients on the chemotherapy arm benefited from a crossover to second-line immunotherapy. The toxicity profile was acceptable, with less grade  $\geq 3$  toxicity in the pembrolizumab arm (17.8% vs 41%).<sup>38</sup>

For patients with advanced squamous-cell NSCLC, the randomized, double-blind, Phase III KEYNOTE-407 trial was designed to compare the efficacy and safety of a first-line pembrolizumab–platinum chemotherapy combination, regardless of PDL1 expression. The primary end point was PFS, with OS and PFS secondary-outcome criteria for the population with PDL1  $\geq 1\%$  expression, ORR, DOR, and safety. The study recruited 560 patients, randomized 2:1 between pembrolizumab 200 mg IV QW3 carboplatin–*nab*-paclitaxel and chemotherapy alone. Results of the second interim analysis were presented at ASCO 2018 by Paz-Ares et al,<sup>39</sup> and are summarized in Table 1. OS increased significantly in the pembrolizumab + chemotherapy arm (median 15.9 months vs 11.3 months, HR 0.64, 95% CI 0.49–0.85;  $P=0.0008$ ). Similarly, median PFS increased from 4.8 months to 6.4 months (HR 0.56, 95% CI 0.45–0.70;  $P<0.001$ ). ORR increased with pembrolizumab (57.9% vs 38.4%). These positive results were observed regardless of the level of PDL1

expression. Tolerance was identical in both arms, with the same rate of grade 3–5 side effects (one treatment-related death in each arm) and of course more autoimmune events in the pembrolizumab arm (28.8% vs 8.6%).<sup>39</sup>

For patients with advanced all-histology PDL1  $\geq 50\%$  NSCLC, a randomized Phase-III trial with 542 planned inclusions compared fixed-dose 200 mg pembrolizumab and a combination pembrolizumab fixed-dose 200 mg Q3W–ipilimumab 1 mg/kg Q6W. The auxiliary PEARLS (KEYNOTE-091) trial, enrolling 1,380 patients, compared in a Phase III setting pembrolizumab (200 mg IV Q3W for 1 year) vs placebo in stage IB/IIIA NSCLC patients who had undergone surgical resections (lobectomy or pneumonectomy), with adjuvant chemotherapy or without. The principal outcome measure was disease-free survival. In the neoadjuvant setting, with the objective of improving disease-free survival and OS, the Phase-III KEYNOTE-671 trial, with 789 planned inclusions, evaluated the safety of pembrolizumab in combination with cisplatin–pemetrexed or cisplatin–gemcitabine chemotherapy depending on tumor histology before surgery, followed postoperatively by pembrolizumab alone in subjects with resectable stage IIB/IIIA NSCLC.

During ASCO 2018, Durm et al reported data from LUN 14-719 (Phase II).<sup>40</sup> The objective of the trial was to evaluate the efficacy of consolidation therapy with pembrolizumab 200 mg Q3W for 1 year in patients with unprogressive stage III NSCLC following concomitant chemoradiotherapy. The primary end point was time to metastatic disease or death. A total of 93 patients were included (92 evaluable): 59.8% stage IIIA N2 and 40.2% stage IIIB. The most commonly used chemotherapy regimen was carboplatin–paclitaxel (71.7% of cases). The median number of pembrolizumab cycles was 13.5 (1–19); 84% of patients received at least four cycles and 43.5% of patients achieved overall pembrolizumab injections (one year of treatment). The median time to metastatic disease or death was 22.4 months (95% CI 17.9–NR) and median PFS was 15.4 months (95% CI 11.9–NR), and 16 patients (17.2%) developed grade  $\geq 2$  pneumonia (10.8% grade 2% and 5.4% grade 3/4). A death related to radiation pneumonitis was observed. These results are quite consistent with those observed in the PACIFIC trial in terms of both tolerance and efficacy, whereas the beginning of immunotherapy was later (between 28 and 56 days after the end of radiotherapy–chemotherapy at 1–42 days in the PACIFIC trial).<sup>40</sup>

Data on other combinations are more limited. Results have been reported for the pembrolizumab–entinostat combination. Entinostat is a histone-deacetylase inhibitor with the potential to modulate myeloid-derived suppressor-cell

functions, and may synergize with PD1/PDL1 inhibition. The preliminary results of a Phase II trial (57 patients) on entinostat plus pembrolizumab for patients with NSCLC previously treated with ICIs were reported at ASCO 2018.<sup>41</sup> Five of the patients included achieved a confirmed partial response (ORR 9%, 95% CI 2.9–19.3). Among the responders, four had PDL1 expression <1% in tissue collected at inclusion. Median DOR was 4.2 months. Twenty (35%) patients experienced grade 3/4-related AEs, and six (10.5%) experienced grade 3/4 IRAEs (three pneumonitides).

Thirty patients were given vorinostat, another histone-deacetylase inhibitor, and pembrolizumab in a Phase I/II trial. PDL1 expression was  $\geq 1\%$  in 18 of 30 (60%) and  $\geq 50\%$  in eleven of 30 (37%) patients. Six patients were ICI-naïve and 24 ICI-pretreated. The disease-control rate was 67% for the entire study population. Among the ICI-pretreated patients, two achieved a partial response and ten had stable disease, and their PFS was 3.2 months compared to 7.6 months for ICI naïve patients.<sup>42</sup> Pembrolizumab was evaluated in previously untreated patients with EGFR<sup>+</sup>, PDL1<sup>+</sup> (>1%) NSCLC. Enrollment was stopped due to lack of efficacy after eleven of the 25 planned patients had been treated. Only one patient had an OR (ORR 9%), but repeat analysis of this patient's tumor definitively proved the original report of an EGFR mutation to be erroneous.

## Current recommendations for ICIs to treat NSCLC

Regulatory agencies<sup>8–12,43,44</sup> have now approved three ICIs to treat NSCLC – nivolumab, pembrolizumab, and atezolizumab – which are indicated for second-line or more therapy,<sup>6,13</sup> regardless of PDL1-expression rate for nivolumab and atezolizumab, and restricted to >1% expression for pembrolizumab. Two Phase III trials have evaluated nivolumab vs docetaxel, one for advanced squamous-cell NSCLC, the other for nonsquamous-cell NSCLC.<sup>8,44</sup> In the latter Phase III trial on nivolumab vs docetaxel for patients whose non-squamous NSCLC had progressed after first-line chemotherapy, OS was prolonged (12.2 vs 9.4 months, respectively) without PFS improvement, but with better ORR for the nivolumab arm (19% vs 12%). Tumor PDL1 expression (Dako antibody clone 28-8) predicted a better response. OS for patients with  $\geq 1\%$  or <1% PDL1 expression, respectively, lasted 17.7 vs 9.0 months or 10.5 vs 10.1 months comparing nivolumab vs second-line chemotherapy for each level.<sup>44</sup>

For atezolizumab, approval was based on the Phase III OAK trial.<sup>45</sup> In that study, atezolizumab reduced the risk of

death by 26% compared with docetaxel for patients with advanced NSCLC following the failure of platinum-based chemotherapy. Median OS was prolonged by 4.2 months. A survival benefit with atezolizumab was observed independently of PDL1 status or histology. For the intent-to-treat population, median OS was 13.8 months for atezolizumab vs 9.6 months for the docetaxel arm (HR 0.74, 95% CI 0.63–0.87;  $P=0.0004$ ). Among the PDL1<sup>+</sup> group, median OS was 15.7 months for atezolizumab vs 10.3 months for the control arm (HR 0.74, 95% CI 0.58–0.93;  $P=0.0102$ ). Among PDL1<sup>-</sup> patients, median OS was 12.6 months for atezolizumab vs 8.9 months for the docetaxel group (HR 0.75, 95% CI 0.59–0.96;  $P=0.0205$ ).<sup>45</sup>

First-line pembrolizumab monotherapy has been approved only for stage IIIB/IV NSCLC with  $\geq 50\%$  PDL1 expression and without EGFR mutation, or ALK or ROS1 (transmembrane tyrosine-kinase receptor) rearrangement.<sup>46</sup> Moreover, in January 2017, based on the promising KEYNOTE-021 trial results, the FDA approved first-line pembrolizumab in combination with carboplatin–pemetrexed chemotherapy for patients with nonsquamous NSCLC independently of PDL1 expression.<sup>12</sup> In February 2018, the FDA approved durvalumab, a human (IgG<sub>1κ</sub>) monoclonal antibody that blocks PDL1 interaction with PD1 and CD80, to treat stage III, unresectable, locally advanced NSCLC that had not progressed after concurrent chemoradiotherapy, based on the Phase III PACIFIC trial results showing that durvalumab prolonged PFS by 11.2 months compared to placebo (16.8 vs 5.6 months, HR 0.52, 95% CI 0.42–0.65;  $P<0.0001$ ). Their respective PFS rates in favor of durvalumab were 55.9% vs 35.3% at 12 months and 44.2% vs 27.0% at 18 months.<sup>43</sup>

## PDL1 expression: the good biomarker?

Unlike other ICIs (eg, nivolumab or atezolizumab), pembrolizumab monotherapy depends on tumor-cell PDL1 expression ( $\geq 1\%$  for second-line,  $\geq 50\%$  for first line), which is frequent in NSCLC.<sup>26,47,48</sup> Selecting patients based on their PDL1-expression level obtains better pembrolizumab efficacy, especially for first-line therapy. It is essential to identify biomarkers able to select potential responders to immunotherapy more optimally.

The results of several studies have shown that ICI efficacy was associated with tumor-cell PDL1-expression level.<sup>17,44,47,49–52</sup> Indeed, in the KEYNOTE-001 trial,<sup>28</sup> a significant association was found between PDL1 expression and pembrolizumab efficacy, with an optimal expression threshold of 50% according to receiver-operating-characteristic

curve analysis. Tumors with  $\geq 50\%$  PDL1 expression were defined as strongly positive, those with 1%–49% expression as weakly positive, and  $< 1\%$  expression as negative.<sup>28</sup> For the validation cohort (KEYNOTE-001), with 313 patients according to the three aforementioned PDL1 groups, ORRs were 45.2%, 16.5%, and 10.7% and median PFS lasted 6.3, 4.1, and 4.0 months, respectively.<sup>28</sup>

For nivolumab, in the Phase I trial that included all types of solid tumors, including advanced NSCLC, only PDL1<sup>+</sup> ( $\geq 5\%$ ) tumors responded.<sup>53</sup> The results of the Check-Mate 057 trial found better nivolumab efficacy in patients with strongly expressed PDL1.<sup>44</sup> The Phase I atezolizumab trial and OAK study also showed an association between PDL1 levels expressed by intratumor-infiltrating immune cells and clinical response.<sup>44,54</sup> A meta-analysis of seven studies on ICI-treated NSCLC patients (n=914) found that those with PDL1<sup>+</sup> ( $\geq 1\%$ ) tumors had ORRs significantly higher than patients whose tumors were PDL1<sup>-</sup> (OR 2.44, 95% CI 1.61–3.68).<sup>48</sup>

Nonetheless, clinical responses have been observed in patients with PDL1<sup>-</sup> tumors, showing that IHC-determined PDL1 expression is an imperfect marker predictive of response to ICIs.<sup>8–10,44,55</sup> Several things could explain those findings. In the first studies, IHC was not standardized, and several labeling techniques were used with at least four monoclonal antibodies (clones 22C3, 28-8, SP142, and SP263) and developed as companion tests for different PD1 or PDL1 inhibitors. Several groups decided to compare the four available antibodies on different platforms. Hirsch et al showed that three (28-8, 22C3, and SP263) of the four reagents were indeed comparable in terms of sensitivity, specificity, and reproducibility.<sup>56</sup> Adam et al found that antibodies 28-8, 22C3, and SP263 had similar analytical performances for labeling tumor cells in seven different centers.<sup>57</sup>

PDL1 expression is a qualitative variable, which explains the choices of different positivity thresholds depending on the study.<sup>10,11,43</sup> According to a recent meta-analysis of anti-PD1/PDL1 therapeutic agents, a 5% threshold seemed to have maximum discriminatory power (OR 2.72,  $P=0.01$ ).<sup>52</sup> In addition, heterogeneous PDL1 expression is possible in a given tumor or between the primary tumor and its metastases.<sup>58</sup>

Finally, in most studies, PDL1 expression by NSCLC cells was assessed by IHC, without taking into account the immune microenvironment, but no definitive information is available on the role of PDL1<sup>+</sup> macrophages in the prediction of response to ICIs.<sup>49,50</sup> Moreover, no consensus exists about the dynamic character or not of PDL1 expression over time.

Indeed, PDL1 can be induced, notably by IFN $\gamma$ ,<sup>18</sup> and tumors not expressing that marker can become PDL1<sup>+</sup> because of a chronic inflammatory phenomenon.<sup>18</sup> Because modification of PDL1-expression rate has also been observed during NSCLC treatment, interest in rebiopsy of tumors before starting anti-PD1/PDL1 therapy has been raised.<sup>59</sup>

Other potential biomarkers for the selection of populations sensitive to ICIs have emerged in the literature, eg, the tumor-mutation burden (TMB). Because the most effective ICIs against tumors have elevated levels of somatic mutations, it has been suggested that TMB could play an important role in the response to PD1/PDL1 inhibitors.<sup>50</sup> Sequencing of the entire exome of pembrolizumab-treated NSCLC showed that a high TMB was associated with a PFS benefit and higher ORR.<sup>60</sup> A study evaluating the role of comprehensive cancer-gene panels (300 genes) to estimate TMB showed that the association between TMB and ICI clinical benefit was also seen when cancer-gene panels were used to estimate TMB.<sup>51</sup> Furthermore, the results of several studies have shown that TMB was not associated with PDL1 expression.<sup>50</sup> The use of PDL1 expression and TMB might obtain a higher predictive value for response to ICIs than individual use of one or the other of these biomarkers.<sup>61</sup>

## Discussion

The KEYNOTE-024 trial results showed the superiority of first-line immunotherapy over platinum-based chemotherapy for advanced PDL1  $\geq 50\%$  NSCLCs.<sup>11</sup> Pembrolizumab obtained the most benefit against squamous-cell carcinoma in terms of PFS, with HR of 0.35 (95% CI 0.17–0.71). That was a major breakthrough for these tumors, for which no therapeutic progress had been made for several years. With regard to OS benefit, it has to be considered that only 50% of the chemotherapy-arm patients benefited from crossover to pembrolizumab at disease progression. The safety profile was quite acceptable for a first-line treatment, requiring monitoring and providing information on IRAEs. Despite reservations about PDL1 expression by tumor cells addressed herein, this biomarker enables selection of patients getting the most benefits from first-line pembrolizumab. In all the international recommendations, pembrolizumab is the standard of care for patients with advanced PDL1  $\geq 50\%$  NSCLC without *EGFR* mutation or *ALK* rearrangement and PS 0–1.

For patients whose tumors express  $< 50\%$  PDL1, data on the pembrolizumab–chemotherapy combination seem promising. The results for KEYNOTE-021 cohort G showed doubling of the ORR (55% vs 28%), and the recent findings of the KEYNOTE-189 trial confirmed the

superiority of the ICI–chemotherapy combination compared to chemotherapy alone, regardless of PDL1 status.<sup>12,47</sup> It should be underlined that the magnitude of the pembrolizumab PFS benefit (alone or with chemotherapy) compared to chemotherapy alone was the same in the three trials: 0.53 in KEYNOTE-021, 0.52 in KEYNOTE-189, and 0.50 in KEYNOTE-024.<sup>11,12,36</sup> The safety of the pembrolizumab–pemetrexed–platinum combination was very close to that of chemotherapy alone.<sup>36</sup> KEYNOTE-189 trial results should in the near future lead to discussion of that combination as the new standard of care for patients whose NSCLC cells express  $\geq 50\%$  PDL1.

The challenge over the next 2 years is to identify predictive biomarkers of ICI efficacy. PDL1 expression is not optimal for the selection of patients to receive ICIs. Many patients have NSCLC not expressing PDL1, and others have hyperprogression under ICIs, despite having PDL1<sup>+</sup> tumors. The search for the best combination of predictive biomarkers (PDL1<sup>+</sup>, TMB, and/or others) must be a top-priority objective for research teams. Simplification and harmonization of techniques for the reproducibility of biomarker detection are other prominent foci of interest for the near future. Academic societies and regulatory authorities should encourage and launch major projects to harmonize practices and lower the costs of testing for these biomarkers.

## Conclusion

The advent of ICIs, notably pembrolizumab, has prolonged NSCLC patient survival. The efficacy of second-line pembrolizumab monotherapy has been shown in patients with tumors with  $\geq 1\%$  PDL1 expression and as first-line treatment for patients with  $\geq 50\%$  of tumor cells expressing PDL1, and more recently as first-line therapy in combination with a pemetrexed–platinum-based chemotherapy for advanced NSCLC, regardless of PDL1 status. At present, PDL1 expression is the only predictive biomarker validated for the selection of patients who could benefit from pembrolizumab. It is not perfect, and in future TMB could help improve patient selection.

## Author contributions

All authors contributed to data analysis, drafting and revising the paper and agreeing to be accountable for all aspects of the work. All authors read and approved the final manuscript.

## Disclosure

OB has served as a consultant for AstraZeneca, Roche, and MSD. GRB served as a consultant for Roche. In the past

5 years, CC has received fees for attending scientific meetings, speaking, organizing research, or consulting from AstraZeneca, Boehringer Ingelheim, GSK, Roche, Sanofi Aventis, BMS, MSD, Lilly, Novartis, and Amgen. The authors report no other conflicts of interest in this work.

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