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# Hybrid cooling based battery thermal management using composite phase change materials and forced convection

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**Abstract:** *This paper investigates the thermal management performance of a novel system using phase change material (PCM) composite for Lithium-ion battery in cell scale. An experimental platform was developed to study thermal phenomena in Li-ion cell. The system was designed on the basis of heat flux measurements. The cells are embedded in a PCM composite material. The assembly is lodged in an aluminum mold manufactured by 3D printing. The impact of the addition of metal foam and forced convection was evaluated. The results showed that the proposed system allows to keep the temperature of Li-ion cell around the optimal operating temperature, 25°C. It's also found that the addition of an aluminum foam allows a more efficient thermal management of the cell.*

**Keywords:** Phase change material (PCM), Battery Thermal Management System (BTMS), Metal foam, Li-ion

## Nomenclature

$C$	Specific heat capacity, ( $J \cdot kg^{-1} \cdot K^{-1}$ )	$T$	Temperature, (K)
$\rho$	Density, ( $kg \cdot m^{-3}$ )	$k$	Thermal conductivity, ( $W/m \cdot K$ )
$Q$	Heat flux, (W)		
$L_f$	Latent heat of fusion ( $J \cdot kg^{-1}$ )		
$t$	Time, (s)		

## 1. Introduction:

One of the main objectives of the world's energy transition is to reduce the amount of CO<sub>2</sub> in the atmosphere. Currently, the global energy is dominated by fossil fuels by about 80% [1]. The transportation sector is among the largest consumers of fossil fuels [2]. One way to reduce carbon emissions in the transportation sector is to replace fossil fuel engines with electric vehicles (EVs) using clean energy sources or hybrid vehicles. Because of their high specific energy density, good stability and low density, Li-ion batteries are generally considered the first choice for EVs [3]. The development of EVs is certainly dependent on that of Li-ion batteries. During charge and discharge cycles, Li-ion batteries experience a rise in temperature, which appears to be the main cause of performance and battery life degradation. The study conducted by Waldmann et al. [4] showed that the high temperatures accelerate the cathode electrode degradation which leads to a decrease of the batteries capacity. This is an agreement with the study made by Ramadass et al [5]. It was found that the capacity of Sony 18650 cells decreases down to 70% after 500 cycles at higher temperatures. In addition to the temperature increasing, the non-uniformity of heat transfer between cells can cause negative effects on the overall performance of the battery. Thereby the development of efficient Li-ion Batteries Thermal Management System (BTMS) appears necessary to ensure better performance, autonomy and optimal lifespan.

The BTMS is currently categorized into three principal categories, active system, passive system, and hybrid system. Amongst them, active cooling systems by air or liquid are the most widely used. However, air systems have its cooling limitations due to its low heat capacity and thermal

44 conductivity. Liquid systems are costly in terms of energy consumption, investment and maintenance.  
45 Passive systems are a less expensive alternative. Passive systems can be classified into two principal  
46 groups: Heat pipes [6] and Phase Change Material (PCM) composites.

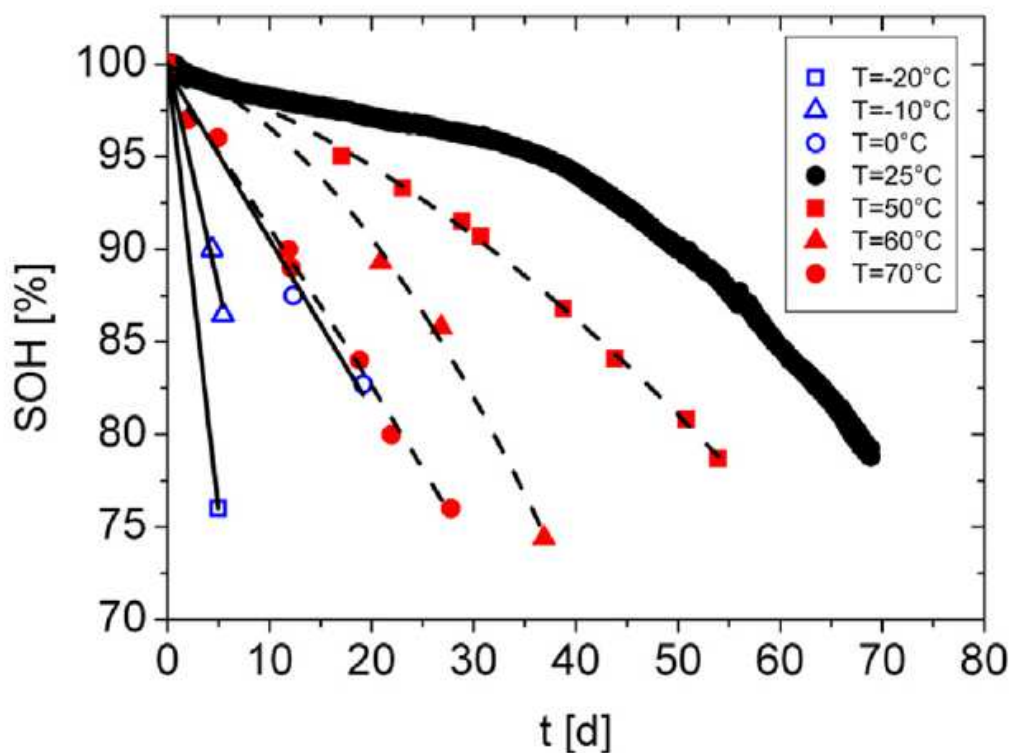
47 During the solidification and melting process of PCM, they can absorb and release latent heat  
48 during the electrical charge and discharge cycles of batteries, while their temperature remains almost  
49 constant. In the last two decades there has been a lot of interest in PCM and PCM composites systems  
50 [7]. BTMS using PCM systems can present a problem of low thermal conductivity, regeneration or  
51 leaks in the liquid state. The regeneration problem can be solved by the addition of an optimized and  
52 intelligent active system that only triggers when needed to evacuate the heat stored in the PCM. PCM  
53 low thermal conductivity can be improved by integrating a material with high thermal conductivity  
54 [8] such as fins [9], porous-graphite [10], expanded graphite [11–13], nanoparticles [14], and high  
55 porosity metal foam (MF) [15–20]. Among these solutions, MF have special properties that make them  
56 a very good candidate to improve the thermal conductivity of a PCM [21]. MF are porous media with  
57 large porosities and large contact areas per unit volume [22].

58 BTMS using PCM was proposed for the first time by Hallaj and Selman [7]. The authors compared  
59 the efficiency of their proposed system with an air system. It was found that the use of PCM allows a  
60 more efficient thermal management with a reduction of about 8°C compared to an air system. The use  
61 of PCM can be particularly effective to control the temperature of Li-Ion batteries in high temperature  
62 environments [23–25]. Wenga and his co-workers investigated the thermal performance of PCM and  
63 branch-structured fins for cylindrical power battery in a high-temperature environment [23]. The  
64 authors tested different PCM types with different phase change temperatures in a high-temperature  
65 environment. It was found that the PCM with a phase change temperature of 46 °C offers the best  
66 cooling effect at a high ambient temperature (40°C). In [24] the result showed that the use of PCM - fin  
67 structure with optimized design allowed to keep the maximum temperature of the battery under 51°C  
68 at high discharge rate of 3C and ambient temperature of 40°C. Ling et al. [26] conducted an  
69 experimental and numerical study on the thermal management of a Li-ion battery by an expanded  
70 paraffin-graphite composite. They tested paraffin with different melting temperatures (36°C, 44°C and  
71 52°C). The cells were replaced by heating elements that simulate the same thermal behavior of the  
72 cells. It was found that PCM composites with high or low phase change temperature are not suitable  
73 for battery thermal management systems. Hussain et al. [27] utilized a graphene coated nickel foam  
74 saturated with paraffin to study the performance of the thermal management system of Li-ion cell.  
75 They compared the system with a system using nickel foam. They found that the cell surface  
76 temperature is 17% less using graphene coated nickel foam saturated with PCM compared to using  
77 nickel foam. Li et al. [28] conducted an experimental study on the thermal performance of BTMS  
78 using a pure PCM and a PCM-MF composite. It was found that the use of PCM-Copper foam  
79 composite leads to lower temperatures with a more uniform temperature field compared to pure  
80 paraffin.

81 Recently, some efforts have been focused on the study of hybrid systems for the Li-ion batteries  
82 thermal management by adding an active system in order to solve the problems of passive BTMS  
83 using PCM composite [29–35]. However, most research has studied numerically this problem.  
84 Mashayekhi et al. [34] proposed hybrid system. A paraffin RT44 incorporated in copper foam was  
85 used as passive system. For active system the authors proposed an aluminum minichannel containing  
86 coolant (Al<sub>2</sub>O<sub>3</sub> nanofluid). The evaluation of the thermal performance of the proposed BTMS showed  
87 that in high discharge rates passive system was inefficient to keep the battery temperature below the  
88 safety limit (60 °C). It's was -also- found that the addition of nanofluid allows to reduce the maximum  
89 temperature reached by batteries by 15.5% in active system case and 8.5% in hybrid system case.  
90 Bamdezh and Molaieimesh [30] proposed a hybrid system by combined an air cooling system and a  
91 PCM composite. The numerical results showed that the maximum temperature difference of the  
92 studied battery was reduced. They do not exceed 1.5°C. Inspired by Tesla cooling system, Lv et al [35]

93 were proposed a novel BTMS using PCM coupled with forced air convection. It's was found that the  
94 proposed system compared to passive system can reduce the used PCM composite weight by ~70%  
95 and increasing the energy density of the battery module from 107.8 to 121.6 Wh kg<sup>-1</sup>.

96 The majority of studies in the literature are numerical or experimental studies simplified by  
97 replacing, for example, Li-ion cells with a heating element and focus on maintaining the temperature  
98 of the batteries in a temperature range between 40°C and 60°C. However, the optimal temperature  
99 range is between 15°C and 35°C [36]. Studies performed in [4] under a current of 1C have shown that  
100 the operating temperature for optimal lifetime for 18650 Li-ion cells is 25°C. Below 25°C, the aging rate  
101 increases with decreasing temperature, while above 25°C, aging is accelerated with increasing  
102 temperature, Figure 1. In this paper we proposed a new BTMS using PCM-MF composite. The aim of  
103 the proposed BTMS is to keep cell temperature around optimal temperature of 25°C under a 1C  
104 charge/ discharge rate. An experimental platform will be presented and described. The mold  
105 intended to house the cell and the PCM composite was manufactured by 3D metal printing. The heat  
106 dissipated by the cell has been calculated from the experimental results and by used MATLAB code.  
107 The results will be presented and discussed.



108  
109 **Figure 1:** SOH curves measured as a function of time for cycles of 1 C at different temperatures [4].

## 110 **2. Materials and methods**

### 111 **2.1. Materials**

112 The selected PCM used in this work was paraffin RT27. The phase change temperature of the PCM  
113 was around 27°C and specific density, 179 KJ/kg [37]. The metal foam consisted of an Aluminum  
114 Foam (AF) with a porosity of 93%. The choice of this foam is the result of our previous work [17,18].  
115 The incorporation of paraffin RT27 in AF was done following the protocol presented in [16]. DSC,  
116 Hot-Disk, Transient Guarded Hot Plate Technique (TGHT) are used to characterize the used  
117 materials [16,37]. The elaboration protocol and the experimental devices and characterization methods

118 are well described in our recently published article [16]. The proprieties of paraffin RT27 and paraffin  
 119 RT27- AF composite used for the present study are given in **Table 1**.  
 120

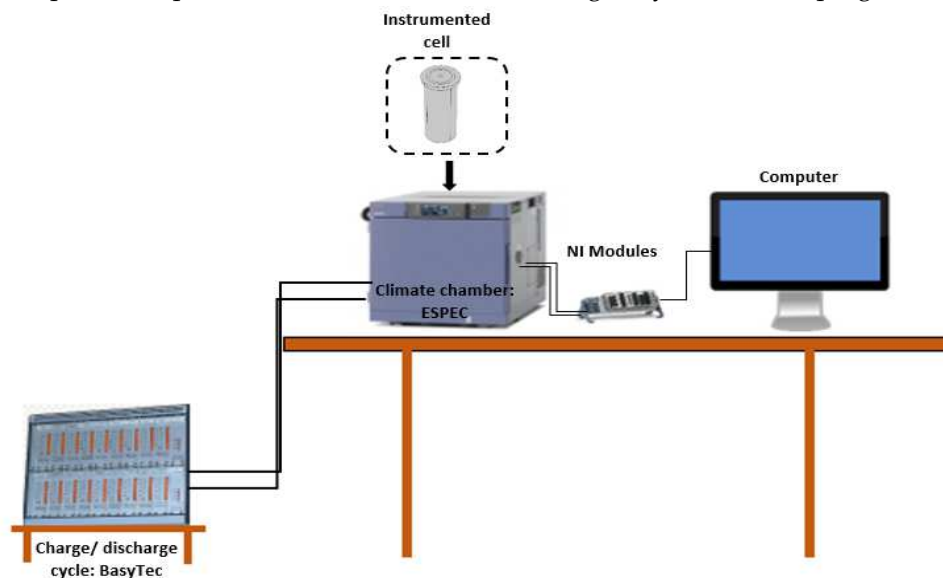
121 **Table 1:** Thermophysical properties of paraffin RT27, RT27- Metal foam and aluminum foam.

properties	Paraffin RT27	Aluminum	RT27- Metal foam composite
Density ( $kg.m^{-3}$ )	870	2800	1005
Heat capacity–solid ( $J.K^{-1}.kg^{-1}$ )	2400	910	1195.68
Latent heat ( $KJ.kg^{-1}$ )	179		
Melting temperature (K)	300.15		300.15
Dynamic viscosity ( $Kg.m^{-1}.s^{-1}$ )	$3.42 \times 10^{-3}$		
Thermal conductivity –solid ( $W.K^{-1}.m^{-1}$ )	0.24	237	4.49
Density – liquid ( $kg.m^{-3}$ )	760		902
Heat capacity –liquid ( $J.K^{-1}.kg^{-1}$ )	1800		
Thermal conductivity – liquid ( $W.K^{-1}.m^{-1}$ )	0.15		
$\beta$ ( $K^{-1}$ )	$0.5 \times 10^{-3}$		

122

## 123 2.2. Experimental platform

124 The experimental platform developed in this work to study thermal phenomena in Li-ion cell is  
 125 shown in *Figure 2*. The cell is connected to BaSyTec system which ensures the battery charge/  
 126 discharge. Commercially available 18650 Li-ion cell with a nominal capacity of 2500 mAh were  
 127 purchased from VARTRA. The cathode and anode of the Li-ion cells were -respectively-  
 128 LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> and graphite. Cell electrical and geometrical characteristics are provided by the  
 129 manufacturer. *Figure 3 (a)* shows a picture of the cell used in the present study. It's a cylindrical with  
 130 dimensions: d=18mm; H=65mm. Thermocouples type K connected to a NI data acquisition system to  
 131 monitor the cell temperature. A cylindrical sensor encapsulates the cell to measure radial heat flux  
 132 dissipated by the cell. The instrumented cell is placed in a ESPEC-642 climatic chamber provided by  
 133 ES Equipements Scientifiques to control the ambient temperature and humidity. The studied cell is  
 134 suspended in order to avoid thermal exchanges by conduction between the battery surface and the  
 135 external environment, *Figure 3 (a)*. The climatic chamber is equipped with a fan which allows to keep  
 136 a homogeneous temperature in the climatic chamber. This causes a weak forced convection in the case  
 137 of a constant imposed temperature. All instruments are managed by a LabVIEW program.

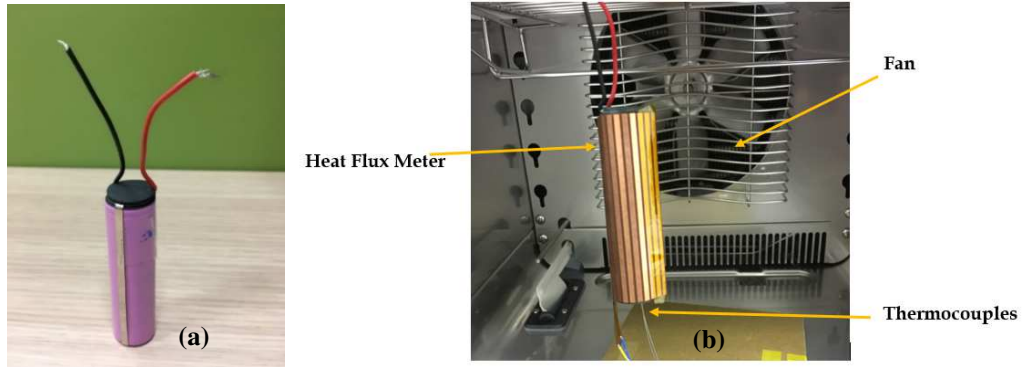


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139

Figure 2: Experimental testbed

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141

142

Figure 3: (a) Cell, (b) Instrumented cell in climatic chamber ESPEC-642

143

### 3. Results

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#### 3.1. Li-ion cell thermal behavior without BTMS

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This work is part of the continuity of our recently published works [38]. In the present work we studied axial heat flux density and thermal properties of the cell using Hot-Disk.

146

147

The axial heat flux density is measured with a Captec Heat Flux Meter in the form of a disk with a diameter of 18.5mm and a thickness of 0.5mm, **Figure 4**. The disk is glued to the underside of the cell, **Figure 5**. **Figure 11** displays a comparison between axial and radial heat flux density. The results show that the axial heat flux density is higher. Therefore, we can assume that Li-ion cells have higher axial thermal conductivity compared to radial thermal conductivity. To confirm this hypothesis, a characterization of the thermal conductivity of the cells has been carried out.

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The thermal properties of the cell were determined using the Hot-Disk transient method [39,40]. The use of Hot-Disk for the thermal characterization of Li-ion batteries is described in [41]. **Figure 7** shows the setup used to characterize the thermal properties of the cells. The measurements were repeated for ten times to ensure the accuracy and repeatability of the results. **Table 2** summarizes the thermophysical properties of the batteries used in this study. It was found that the axial conductivity is 31.2 W/(m.K) and the radial conductivity is 0.2 W/(m.K).

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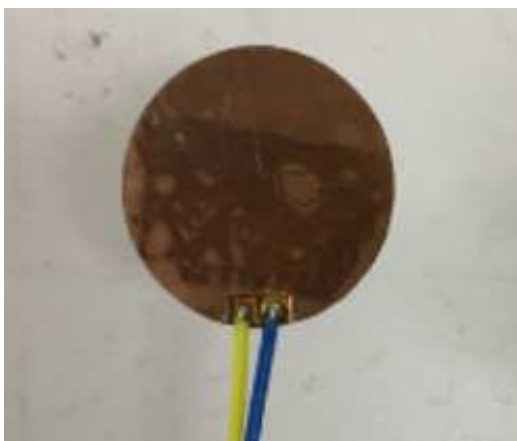


Figure 4 : Heat Flux Meter, disk

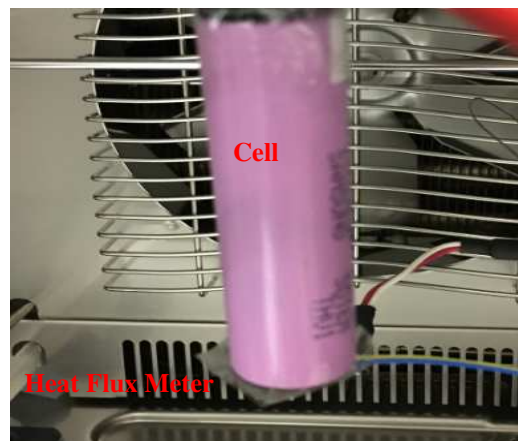
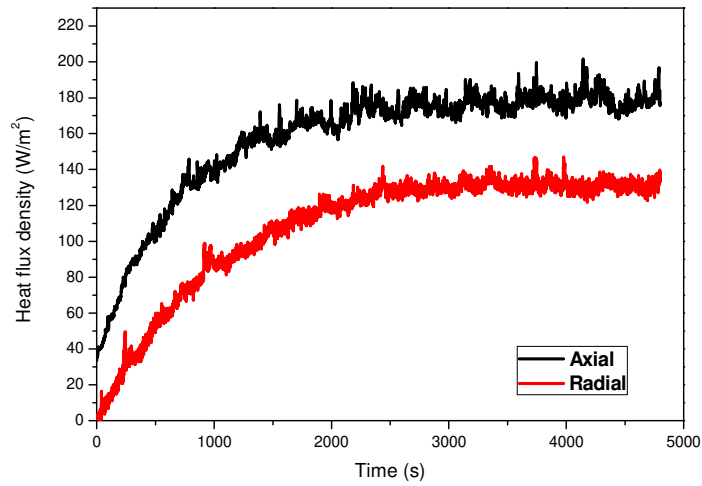


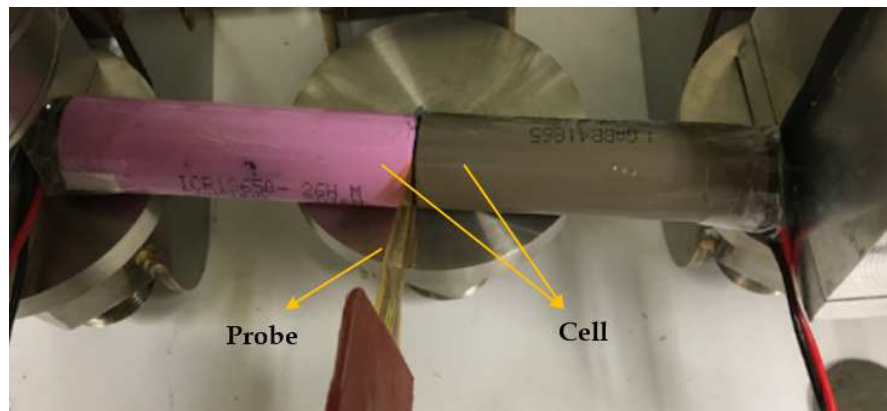
Figure 5 : Cell instrumented by Heat Flux Meter "disk"



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160

Figure 6: Radial and axial heat flux density



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Figure 7: Thermal characterization of the cells by Hot-Disk

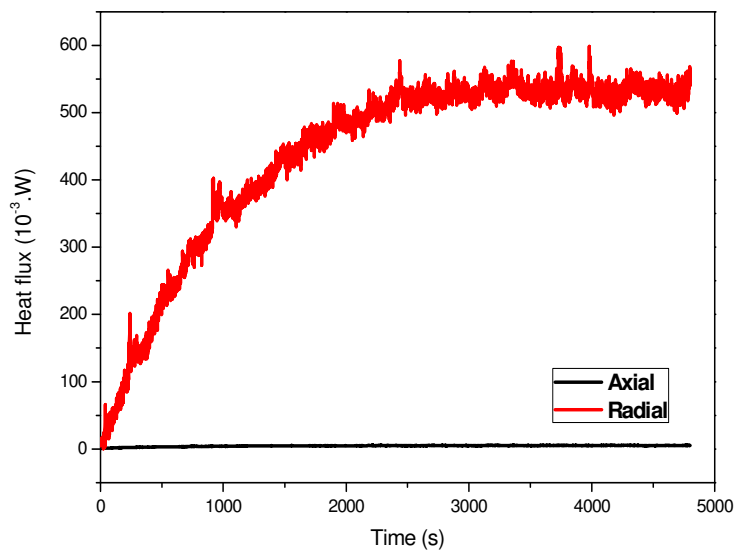
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Figure 8 shows the temporal evolution of the radial heat flux versus axial heat flux. It can be seen that the axial flow is small compared to the radial flow. In fact, the axial flux represents about 0.9% and the radial flux 99.1%.



167

168

169

Figure 8: Radial and axial heat flux

170

**Table 2:** Thermophysical properties of the cell

	$k$ , Axial	$k$ , Radial	$C_p$	$\rho$
	$W.m^{-1}.K^{-1}$	$W.m^{-1}.K^{-1}$	$J.kg^{-1}.^{\circ}C^{-1}$	$Kg.m^{-3}$
Li-in Cell	31.15	0.2	1726	2700
Uncertainties	$\pm 0.033$	$\pm 0.027$	$\pm 0.021$	

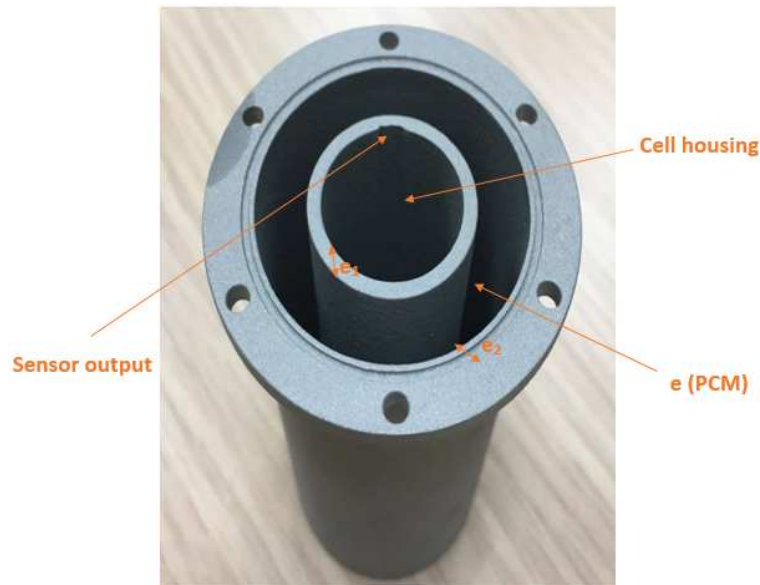
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172

173 The approach we followed to size our system is well described in [38]. The results give a PCM  
174 thickness of 2.8 mm. We approximate to 3 mm.

### 175 3.2. Li-ion cell thermal behavior with BTMS

176 The studied cell is placed in an aluminum mold. **Figure 9** shows a photograph of the mold used  
177 with the details of its geometrical parameters. The mold takes the form of two hollow coaxial  
178 aluminum cylinders, height  $h$  and thicknesses  $e$ ,  $e_1$  and  $e_2$ . The inner cylinder has the same diameter as  
179 the cell. A PCM composite is inserted between the two cylinders. A groove is provided at the internal  
180 cell cylinder interface. It is used to accommodate the temperature sensors and the flowmeter cables.  
181 The design of these molds takes into account the volume expansion of the PCM. Aluminum was  
182 selected as an acceptable compromise between thermal properties, density and cost. The mold was  
183 manufactured by high precision 3D metallic printing.

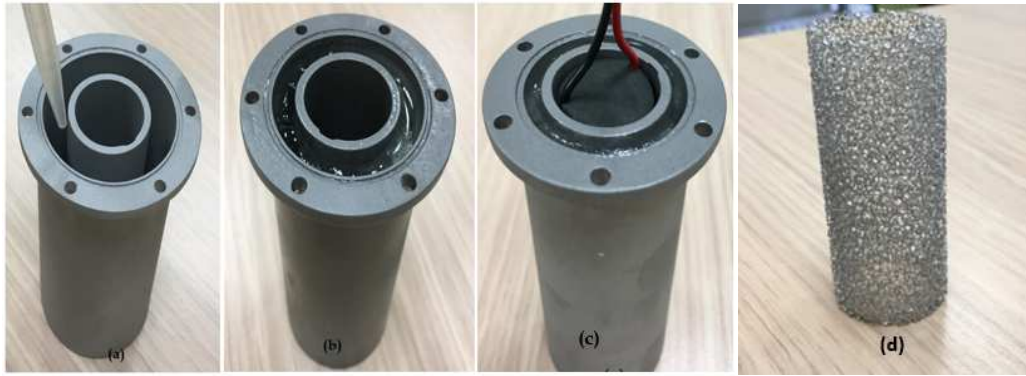


184

185 **Figure 9:** Aluminum mold used in this study

186 Liquid paraffin is injected into the vacuum designed to contain it. After filling, the whole unit is  
187 cooled in the climatic chamber to an imposed temperature of 22°C. **Figure 10** (a) provides a  
188 photograph during filling, **Figure 10** (b) is after filling and **Figure 10** (c) is after the integration of the  
189 cell. Before inserting the cell into the mold, the protection of all connections is necessary to avoid any  
190 direct contact between the aluminum mold and the cell connections.

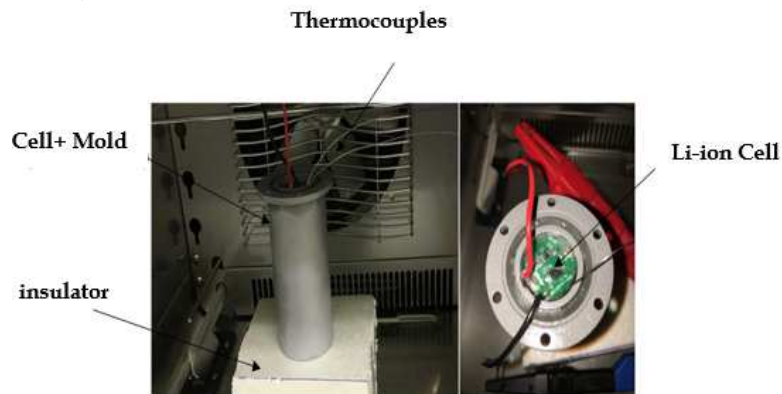




191  
192 **Figure 10:** *Experimental protocol*

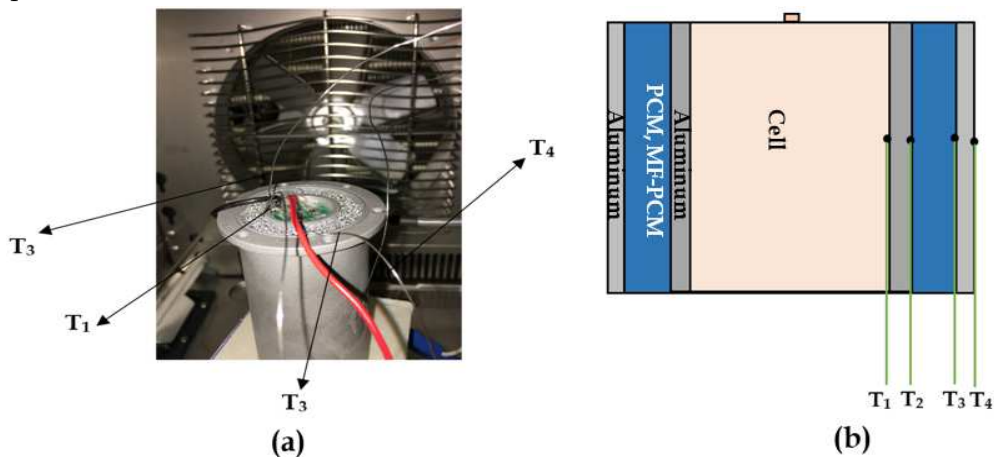
193 In the case of an MF-PCM composite, the metal foam is cut by a laser cutting system from the  
194 EROSFER company in the form of tubes with dimension  $h \times e$ , **Figure 10** (d).

195 The instrumented cell is housed in the climatic chamber. The base of the mold is insulated by  
196 expanded polystyrene, **Figure 11**.



197  
198 **Figure 11:** *Cell housed in the climatic chamber*

199 In order to evaluate the thermal performance of the paraffin RT27 and paraffin RT27- AF  
200 composite and their capacities to absorb the heat generated by the cell during successive  
201 charge/discharge cycles, the evolution of the radial temperature was monitored at the interfaces  
202 thanks to four K-type thermocouples:  $T_1$ ,  $T_2$ ,  $T_3$  and  $T_4$ . **Figure 12** (a) provides a photo illustrating the  
203 location of the thermocouples and **Figure 12** (b) shows a schematic diagram of the location of the four  
204 thermocouples.



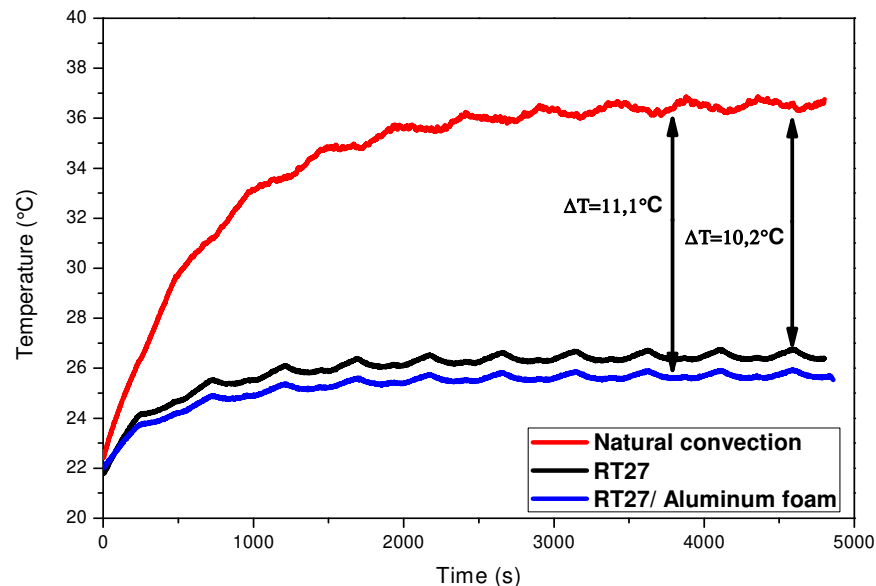
205  
206 **Figure 12:** Thermocouple positions (a): Photo, (b): Schematic diagram

### 207 3.2.1. Impact of BTMS on cell temperature

208 **Figure 13** shows a comparison of temperature evolution measured by thermocouple  $T_1$  as a  
209 function of time. The results presented for the three cases studied include natural convection (cell  
210 without proposed BTMS), paraffin RT27 and the AF (porosity 0.93, pore density 40PPI) - paraffin RT27  
211 composite. The solicitation is a current of 1C (2.5A) for ten successive uninterrupted 8-minute  
212 charge/discharge cycles. For thermal management with the pure paraffin RT27 and the Paraffin RT27-  
213 AF composite, the imposed temperature is 22°C. Ventilation is active to promote heat exchange  
214 between the evaporator (or condenser in the case of heating) and the air inside the climatic chamber.

215 The results show that the proposed BTMS (pure paraffin RT27/ paraffin RT27- AF composite) can  
216 significantly reduce the temperature of the cell. The black and blue curves, respectively, show the  
217 management of the time evolution of the cell temperature in the cases of pure paraffin RT27 and the  
218 Paraffin RT27- AF composite. Both curves show that a steady state is reached after two cycles versus  
219 five cycles in the case of natural convection. Comparing the steady state cell temperatures, we observe  
220 that the cell temperature of the natural convection reached 36.7°C, while the pure paraffin RT27  
221 reached 26.5°C and thermal management with the paraffin RT27- AF composite (0.93, 40PPI) reached  
222 25.6°C. In conclusion, the pure paraffin RT27 allowed the cell temperature to reduce by 10.2°C  
223 (average deviation in the steady state) and the paraffin RT27- AF (0.93, 40PPI) composite reduced by  
224 11.2°C (average deviation in the steady state). The results show that the proposed system can maintain  
225 the cell temperature very close to the optimal operating temperature of 25°C.

226 The comparison of the results of the present study with the studies done by [42–44] points to the  
227 role of PCM phase changes temperature on the BTMS performance. In [42] the authors used a paraffin  
228 wax with phase change temperature around 44°C. Experiments were carried out for the battery  
229 contained in the housing for different heat exchange conditions, natural convection without PCM,  
230 pure PCM, and composite PCM and fin structure. The result showed that the proposed system kept  
231 the temperature around 55°C in the heat input of 6W case.



232  
233 **Figure 13:** Measured temperature evolution with and without thermal management system

### 234 3.2.2. Temperature distribution without forced convection

235 In order to simulate a cell placed in a very confined area (battery pack of electric vehicle), tests  
236 without forced convection are performed. The assembly is placed in the climatic chamber, initially at a  
237 thermal equilibrium of 22°C, isolated from any heat exchange with the ambient environment.

238 **Figure 14**, and **15**, show – respectively- the temperature evolution in the case of pure paraffin RT27  
239 and paraffin RT27- AF composite. The results show the total melting of the paraffin in both cases. This

240 corroborates the results of our previous numerical study presented in [38]. An underestimation of  
 241 necessary PCM volume to absorb the heat generated by the cell leads to high temperatures. The  
 242 results show that the addition of a MF significantly reduced the temperature difference between the  
 243 cell and the MF-PCM composite due to the improvement of effective thermal conductivity of the MF-  
 244 PCM composite. The temperature difference between  $T_1$  and  $T_2$  was  $2.5^\circ\text{C}$  in the case of pure paraffin  
 245 RT27 and  $1.6^\circ\text{C}$  in the case of paraffin RT27- AF.

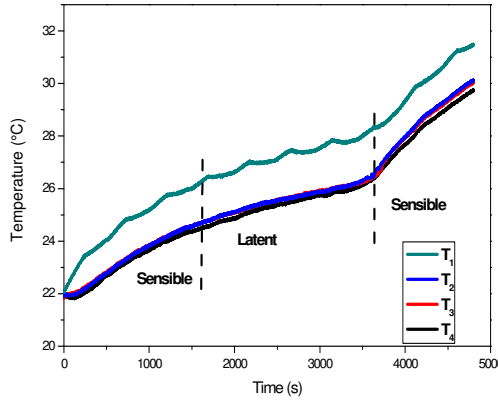


Figure 14: Temperature evolution- RT27, without convection

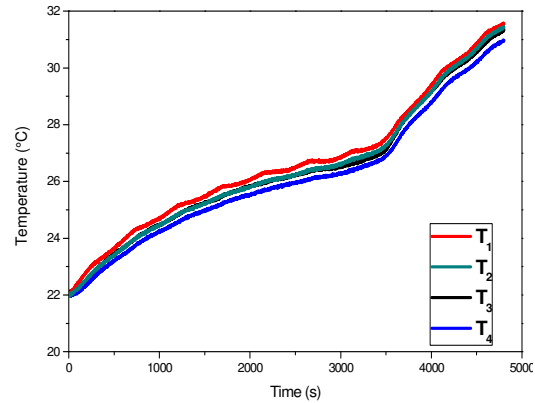


Figure 15: Temperature evolution AF- RT27, without forced convection

246

### 247 3.2.3. Temperature distribution with forced convection

248 In order to test the effect of convection on the thermal state of the cell, ventilation is activated to  
 249 ensure a homogeneous temperature in the climatic chamber ( $22^\circ\text{C}$ ). **Figure 16** presents the time  
 250 evolution of the temperature recorded for the pure paraffin RT27. On the one hand a permanent  
 251 regime is established after 3 cycles unlike without forced convection. On the other hand, the  
 252 temperature difference between  $T_1$  and  $T_2$  increased. The results show that the paraffin is not  
 253 completely melted at the end of the test. This can be attributed to heat losses by forced convection.

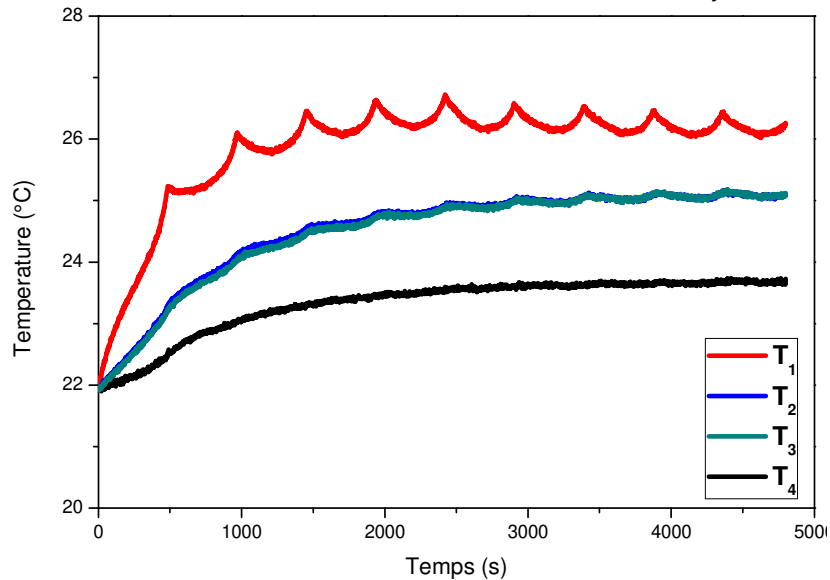


Figure 16: Temperature evolution RT27, forced convection

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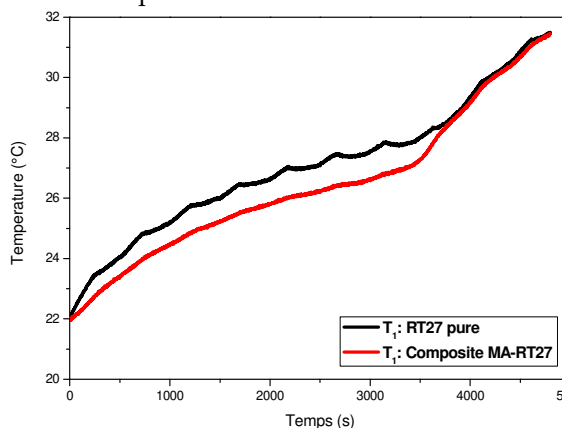
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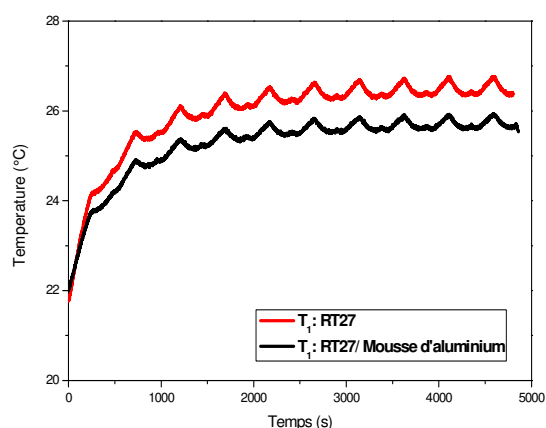
### 257 3.2.4. Impact of the addition of metal foam and forced convection

258 **Figure 17** presents the comparison of the cell temperature evolution ( $T_1$ ) between pure paraffin  
 259 RT27 case and paraffin RT27- AF composite case for without forced convection scenario. In this figure

260 it can be seen that the addition of the metal foam reduces the temperature of the cell during the  
 261 melting process. Indeed, the addition of a conductive foam intensifies the heat transfer. This has, a  
 262 priori, a greater impact in the presence of forced convection. To confirm or deny this, the temporal  
 263 temperature of the cell evolution with pure paraffin RT27 was compared to that with paraffin RT27-  
 264 AF composite in forced convection scenario, **Figure 18**. The comparison shows an average steady-state  
 265 temperature difference of about 1.2°C between the cell temperature with pure RT27 and the Paraffin  
 266 RT27- AF composite.



**Figure 17:** Impact of metal foam on cell temperature, without forced convection scenario



**Figure 18:** Impact of the addition of a metal foam on the temperature of the cell, with forced convection scenario

267

## 268 4. Conclusion

269 This paper deals with the study and characterization of thermal phenomena in a Li-ion cell.  
 270 Cylindrical 18650 cells were used in this study. This type of cell is the most commonly used in electric  
 271 vehicles. An experimental platform has been developed in CERTES Lab to study the thermal behavior  
 272 of a Li-ion battery at cell scale. The objective was to propose a new BTMS that guarantees an operating  
 273 temperature around the optimal operating temperature of 25°C for 1C charge/discharge cycles. The  
 274 following results were obtained:

- 275 • The study of the thermal conductivity of the cell by the Hot-Disk has shown that the axial  
 276 thermal conductivity is much more important than the radial one.
- 277 • The results showed that the PCM can absorb the heat generated by the cell in latent form in  
 278 solid-liquid phase change. However, its low thermal conductivity limits its performance.  
 279 The addition of an aluminum foam allows a more efficient thermal management of the cell.  
 280 The results of the temperature measurements revealed that a temperature difference of  
 281 about 11°C was recorded on cell surface without the RT27/ Aluminum Foam composite.
- 282 • The proposed BTMS system allowed to keep the temperature of the cell very close to the  
 283 optimal operating temperature

284 From the above results, we can conclude that the proposed system can be considered as an  
 285 optimized hybrid system which has the potential to be used for Li-ion batteries cooling of electrical  
 286 vehicles. Before applying it on the scale of an electric vehicle, this study will be completed by:

- 287 • The study of the thermal performance of the proposed system on the scale of a module and  
 288 then on the scale of a pack.
- 289 • Study of the efficiency of the proposed system in the case of severe conditions: fast  
 290 discharges (2C, 3C,...)

- 291 • The study of the thermal response of the proposed system with standard cycles: WLTP
- 292 (Worldwide Harmonised Light vehicles Test Procedure).
- 293 • A Durability study of PCM- MF Composites

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